

Student Handbook

#### **Student Handbook**

The Student Handbook provides an outline of the policies and procedures pertinent to students enrolling in and/or undertaking a course with Asset Training Australia. The policies and procedures contained in this document are part of the overall quality framework that has been developed to ensure that students receive quality training and assessment services.

#### Acknowledgements

Asset Training Australia would like to acknowledge the following external references used in the development of this resource:

- Standards for Registered Training Organisations (RTOs) 2015
- Candidate Handbook, TBS Consulting, 2015
- ComLaw, Australian Government, 2016
- Queensland Legislation, Office of the Queensland Parliamentary Counsel, 2016

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The Managing Director
Asset Training Australia Pty Ltd
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North Lakes QLD 4509

### Message from the Managing Director

One of the many strengths of Asset Training Australia is the commitment of all our staff and partners to deliver quality training and assessment services to our students.

We are proud to offer a professional and supportive environment for our students to develop the skills and knowledge they require in order to achieve their goals. Our training facilities and resources are maintained at a high standard to ensure that our students are equipped with the necessary tools to learn effectively.

Our Training and Assessment staff are industry professionals with extensive vocational and training experience. Their technical knowledge of the subject matter combined with our structured training approach makes it easy for students to comprehend the lessons.

To support our management systems and ensure that our students consistently achieve high quality outcomes, we have developed a number of policies and procedures that explain our obligations and expectations. This Student Handbook contains a selection of those policies and procedures that will assist our students as they prepare to undertake our training programs.

I trust that the information contained in this handbook is beneficial and extend my personal appreciation, as well as that of my team, to you for choosing Asset Training Australia to assist with your training needs. We look forward to your productive feedback to ensure that our services meet your expectations.

Mark Costello JP (Qual)
Managing Director

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### **INTRODUCTION**

### Registration

Asset Training Australia is a Registered Training Organisation (RTO # 31718). As an RTO we comply with the legislative and other requirements of the VET Quality Framework including:

- Standards for Registered Training Organisations (RTOs) 2015
- The Australian Qualifications Framework (AQF)
- Other conditions of registration such as Data Provision Requirements, Fit and Proper Person Requirements and Financial Viability Risk Assessment Requirements.

The national regulator for Australia's vocational education and training sector is the Australian Skills Quality Authority (ASQA) who is responsible for regulating courses and training providers according to the VET Quality Framework. More information regarding ASQA is available on <a href="https://www.asqa.gov.au">www.asqa.gov.au</a>.

### **About Our Organisation**

Asset Training Australia gained initial registration as an RTO in 2008. The business has grown rapidly since then and now offers over 40 different qualifications/courses across Australia. Our innovative and practical approaches to course design and delivery have enabled us to not only meet industry requirements but also in some cases influence positive changes in common industry practices.

We have multiple office locations throughout Queensland and also offer training and assessment services online, by correspondence and in the workplace. Our team of training professionals are experts in their field and regularly demonstrate their skills and validate what they train as they continue to work in their core industries.

In 2009, Asset Training Australia extended its reach by forming partnerships with professional industry organisations. These Training Partners bring their expertise to our organisation and combined with our systems and resources they are able to deliver industry-focused training to their local markets. Training Partners generally specialise in a small range of qualifications from our scope however their knowledge and skills in those areas is exceptional resulting in quality outcomes for all of our students.

Asset Training Australia has adopted a continuous improvement approach with links to industry and other systems in place to ensure that our students receive the most professional and up-to-date training available.

#### **Services**

Asset Training Australia offers a wide range of services however our core business is the delivery of Nationally Recognised Training in the following subject areas:

- Business
- Business Administration
- Community Justice Services QLD Only
- Customer Engagement
- Firearms Safety QLD only
- First Aid
- Hospitality
- Human Resources
- Investigative Services

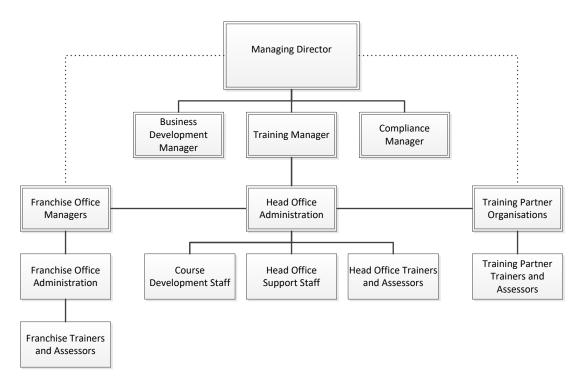
- Leadership and Management
- Marketing and Communications
- Project Management
- Quality Auditing
- Retai
- Security and Risk Management
- Security Operations
- Work Health and Safety

The level of training in each of these areas varies according to training package and industry requirements. Our scope of registration includes qualifications from Certificate I to Graduate Diploma as well as individual units of competency and accredited courses. A full list of our scope of registration is available on the national training register: http://training.gov.au/Organisation/Details/31718.

Our services are targeted at the Job Seeker and Existing Worker markets as well as those individuals who wish to undertake training for personal development or self-interest. We deliver both public and in-house courses to meet the needs of our students.

# **Organisational Structure**

The following chart outlines the organisational structure of Asset Training Australia including the communication channels to ensure that the decisions of senior management are informed by all staff and partners in the organisation.



# **LEGISLATIVE REQUIREMENTS**

RTO's in Australia are subject to Commonwealth and State or Territory legislation pertaining to training and assessment as well as business practices. Asset Training Australia complies with all Commonwealth and State legislation ensuring that management, staff and students are informed of their obligations.

This includes but is not limited to:

- Anti-Discrimination Act 1991
- Competition and Consumer Act 2010 (Cth)
- Copyright Act 1968 (Cth)
- Corporations Act 2001 (Cth)
- Disability Services Act 2006
- Explosives Act 1999
- Fair Trading Act 1989
- Fire and Rescue Service Act 1990
- Industrial Relations Act 1999
- National VET Regulator Act 2011 (Cth)

- Privacy Act 1988 (Cth)
- Security Providers Act 1993
- Transport Operations (Road Use Management) Act 1995
- Vocational Education and Training Act 2000
- Weapons Act 1990
- Work Health and Safety Act 2011
- Workers Compensation and Rehabilitation Act 2003
- Commission for Children and Young People and Child Guardian Act 2000

Current legislation is available online at www.austlii.edu.au.

### **Work Health and Safety**

Asset Training Australia is committed to ensuring the health and safety of its staff and students at all times. This includes meeting our legislative obligations to:

- Secure the health, safety and welfare of employees and other persons at work
- Eliminate, at the source, risks to health, safety or welfare of employees and other persons at work
- Ensure that the health and safety of members of the public is not placed at risk by the conduct of undertakings by employers and self-employed persons
- Provide for the involvement of employees, employers, and organisations representing those persons, in the formulation and implementation of health, safety and welfare standards.

All staff are trained in risk assessment and management practices pertaining to their role as well as Asset Training Australia's policies and procedures relating to work health and safety. The following procedures and standards are observed by Asset Training Australia:

- Accident / Incident reporting
- Emergency control
- Equipment checks and maintenance
- Equipment safe storage
- Evacuation plan (fire, bomb, major incident)
- Fire hazards identified and fire prevention
- First aid and safety procedures are clearly displayed

- Maintain a safe, clean and efficient working environment
- Manual handling techniques and training
- PPE / chemicals (storage)
- Rehabilitation
- Store and dispose of waste according to OHS regulations
- Student safety
- Unsafe situations and risks identified and reported

All students will participate in an induction at the commencement of their course which will outline the specific work health and safety requirements for their course and the specific training facility where the training is taking place.

If a student is found to breach any of Asset Training Australia's work health and safety standards or commit any act which may endanger the safety of themselves, staff, other students or members of the general public, that student will be excluded from participating in further training and assessment activities.

### Harassment, Discrimination and Bullying

Asset Training Australia has a zero-tolerance policy for harassment, discrimination and bullying. All staff and students are entitled to a workplace and training environment that is free from all forms of harassment, discrimination and bullying. All staff and students are to be treated fairly and have the opportunity to feel safe, valued and respected.

**Discrimination** is where a person treats or proposes to treat, a person with an attribute less favourably than another person without the attribute is or would be treated under the same circumstances. Examples include but are not limited to discrimination by age, disability, employment, nationality, religion, gender and sexual orientation.

**Harassment** is any unwelcome and uninvited comment or action that results in a person being intimidated, offended, humiliated or embarrassed.

**Bullying** is any unwelcome and offensive behaviour that intimidates, humiliates and/or undermines a person or group. Bullying involves a persistent pattern of behaviour over a period of time and may include verbal abuse, physical assault, unjustified criticism, sarcasm, insults, spreading false or malicious rumours, isolating or ignoring a person, putting people under unnecessary pressure and sabotaging someone's work or their ability to complete their work

In the event that a student believes they are or have been discriminated against, harassed or bullied they should be encouraged to inform the other party that their behaviour is objectionable and should not be continued, provided they are comfortable with confronting the offender. In instances where the person is not comfortable discussing the

matter with the offending party, a trainer or staff member should be informed of the situation. In this case it becomes the responsibility of the relevant staff member to follow Asset Training Australia's policy and procedures to rectify the situation.

All students and staff working with Asset Training Australia have the right to discuss matters of harassment, discrimination and bullying with the relevant members of staff without making a formal complaint; all discussions are dealt with in confidentiality. The right to lodge a formal complaint of misconduct against the offending party is available and will be actioned according to Asset Training Australia's policy and procedures.

# Working with Persons under 18 Years of Age

Although many of Asset Training Australia's qualifications have entry conditions that require students to be over the age of 18, there are some courses that may allow minors to enrol and undertake training and assessment. Asset Training Australia complies with all Commonwealth and State legislation concerning working with children.

Students under the age of 18 must have parent or guardian consent to enrol in a course with Asset Training Australia. Parental supervision is not generally required while undertaking training and assessment however Asset Training Australia's staff may at their discretion request that a minor be accompanied by a responsible adult. Parents or guardians must be contactable at all times throughout the training and assessment.

All of Asset Training Australia's staff have been issued a Positive Notice 'Blue Card' from the Commission for Children and Young People and Child Guardian or a similar Police Check to conform that they are suitable to work with children.

### **Consumer Rights**

Asset Training Australia complies with the provisions of Australian Consumer Law including the Competition and Consumer Act 2010 (Cth) and Fair Trading Act 1989. This includes providing information to perspective students in clear English prior to enrolment, clearly explaining disclaimers, not behaving in a misleading or deceiving manner, not making any actions or omissions that may force or coerce a student's decision and providing fair dealings for all students.

Students enrolling into Asset Training Australia's courses are entering into a contractual agreement. All students have access to and are provided with relevant information pertaining to their enrolment and contractual obligations before commencing the course. This information is publicly available on online at <a href="www.asset.edu.au">www.asset.edu.au</a> as well as from any of Asset Training Australia's training venues.

For more information on consumer rights, please refer to www.consumerlaw.gov.au.

#### **Privacy**

Asset Training Australia collects personal information about students directly from the student, their authorised representative and third parties such as JSA providers, employers and Government bodies. Information may also be collected from public sources. We only collect personal information for purposes which are directly related to our provision of training and assessment in accordance with the VET Quality Framework, and only where it is necessary for such purposes.

We do not give personal information about an individual to Government agencies, private sector organisations or anyone else unless one of the following applies:

the individual has consented

- the individual would reasonably expect, or has been told, that information of that kind is usually passed to those individuals, bodies or agencies
- it is otherwise required or authorised by law
- it will prevent or lessen a serious and imminent threat to somebody's life or health, or
- it is reasonably necessary for the enforcement of the criminal law or of a law imposing a pecuniary penalty, or for the protection of public revenue.

We take steps to protect the personal information we hold against loss, unauthorised access, use, modification or disclosure, and against other misuse. When the personal information that we collect is no longer required, we destroy or delete it in a secure manner.

You can access the personal information that we hold about you, and you can ask us to correct the personal information we hold about you. For more information, see our Access to Records Policy in this document. If you are listed on one or more of our media or network email lists you can opt out at any time. You can unsubscribe by using the 'unsubscribe' options noted in our emails.

Asset Training Australia is bound by the Information Privacy Principles in the Privacy Act 1988 (Cth). https://www.asset.edu.au/privacy

# **FINANCIAL REQUIREMENTS**

Asset Training Australia operates predominately as a 'fee for service' training business. This means all training programs attract fees. These fees are paid by / charged to the student, a government agency or the student's employer. Fee information\* is available via:

- Asset Training Australia's website
- Asset Training Australia's Course Fee Schedule
- Asset Training Australia'sbrochures
- Direct email from Asset Training Australia

Each of these information streams clearly identifies all fees and charges, including optional charges such as Recognition of Prior Learning (RPL) fees, and will be updated regularly so that both Asset Training Australia and our clients will be protected.

All fees will be paid at or prior to the commencement of training unless prior arrangements are made with Asset Training Australia's management.

- \* Fees charged by Asset Training Australia's Training Partners and Franchises may differ from the fees stated on the above sources. Students should contact the relevant branch or Training Partner to confirm fees prior to enrolment.
- \* Fees and charges are subject to change without notice at the discretion of Asset Training Australia's Management.

#### **Fee Structure**

#### **Total Course Fee**

Each training program offered by Asset Training Australia has a specific course fee. The course fee is the maximum fee that will be charged to the student for his / her selected training program. This fee is inclusive of:

- Tuition and assessment
- Support
- Reference materials and mandatory textbooks
- Access to resources and equipment

Where additional resources are required such as optional textbooks and reference documents or own computer, the student will be required to supply these at their own expense. Asset Training Australia may be able to supply some of these resources at an additional cost to the total course fee on request. In these circumstances, the pre-course information will outline the additional resources required.

Students enrolling in a training program with Asset Training Australia must arrange payment options for the Total Course Fee in advance or upon commencement unless they have been pre-approved for aZip Money or Debit Success Payment Plan option; or unless the student has been pre-approved for funding under a Government funding program.

#### Zip Money\*\*

Some training programs listed on the Course Fees schedule are available through the Zip Money payment option. This option allows students to apply for pre-approval for this payment option and if approved they can use their Zip Money account to arrange payment of their nominated course fees. Repayments for Zip Money start from just \$40 a month and are based on your account's credit limit. Simply choose from weekly, fortnightly or monthly, and pay any amount you like as long as you meet the monthly minimum for your account (outlined in your Zip Money contract). Every Zip Money purchase comes with an interest free period. Pay off your purchase within this period and you won't pay any interest. After this period, a standard interest rate of 19.9% will apply to the remaining balance. <a href="https://www.asset.edu.au/zipmoney">https://www.asset.edu.au/zipmoney</a>

#### **Debit Success Payment Plans\*\***

Some training programs listed on the Course Fees schedule are available through the Debit Success Payment Plan option. This option allows students to arrange payment oftheir nominated course fee through weekly or fortnightly direct debit payments. A 20% deposit payment and first weekly or fortnightly payment is required to commence a Debit Success payment plan; these payments are made directly to Debit Success. The nominated deposit must be paid in advance of your course commencing and all remaining payments must be made according to the agreed schedule.https://www.asset.edu.au/debit-success

#### **Government Funding\*\***

From time-to-time Asset Training Australia gains access to Commonwealth and State funding initiatives that may contribute some or all of the course fees for eligible participants undertaking eligible qualification. Information regarding this funding will be provided separately to this document and outline the specific conditions and entitlements under the relevant funding program. Students enrolling in Government subsidised qualifications must be pre-approved for funding prior to commencement of their course.

\*\* Zip Money and Debit SuccessPayment Plans and Government Funding is not available on all training programs, all locations and are generally not available through Training Partners. Additional fees, charges, terms and conditions apply for these payment options.

# **Making Payments**

Students may make payments to Asset Training Australia by any of the following means:

- Cash
- Cheque / Money Order
- EFTPOS
- Credit Card (MasterCard and VISA only)
- Direct Deposit
- PayPal (selected locations only)

Prior to commencement, Asset Training Australia can accept a maximum payment of \$1500 in advance. If a course fee exceeds \$1500 students can opt for one of our payment plan options. This process in designed to protect and safeguard the student fees and ensure that students are not disadvantaged.

#### **GST**

All Nationally Recognised Qualifications, Accredited Courses and Units of Competency delivered by Asset Training Australia are GST-Free in accordance with the Australian Taxation Office GST Rulings GSTR 2000/27, GSTR 2001/1 and GSTR 2003/1.

#### **Payment Receipts**

A tax invoice / receipt will be issued for all payments made by the receiving Franchise office or Training Partner.

#### **Refunds**

Asset College complies with Australian Consumer Law in regard to refunds. We are not required to provide a refund if you change your mind about the course that you chose to enrol into. However, we may provide a refund or partial refund in the following circumstances.

https://www.asset.edu.au/course-fees-refund-policy/

All refunds will be processed by the same method that the payment was received (i.e. if paid by credit card, the payment will be refunded to the same card).

All applications for a refund are required to be reviewed by anauthorised Manager for approval and will only be considered if all other options (e.g. deferral of course, transfer of fees to another course, etc.) have been considered.

Refunds as a result of an error or change by ASSET will be processed within 10 business days; all other refund requests will be reviewed and processed within 30 days.

# **STUDENT SERVICES**

Asset Training Australia is committed to delivering high quality services that support students throughout their training and assessment. This commitment maintains a client focused operation that produces the best possible outcome for students. Students who undertake training with Asset Training Australia receive every opportunity to successfully complete their chosen training program.

Asset Training Australia takes a systematic approach to establish and recognise the needs of each client. It is a requirement that all staff members do their utmost to meet the needs of students. Where a student's need is outside the scope or skill of the organisation they will be referred to an appropriate service or an alternate training organisation.

#### **Customer Service Focus**

Asset Training Australia delivers specialised training and assessment services\*. As such, it is vital that all students are informed of and understand the extent of the training course that they are enrolling in. Asset Training Australia has in place a process and mechanism to provide all clients information about the training, assessment and support services to be provided, and about their rights and obligations, prior to enrolment or entering into an agreement.

In summary, Asset Training Australia will provide:

- Training programs and services that promote inclusion and are free from discrimination
- Support services, training, assessment and training materials to meet the needs of a variety of individual students
- Consideration of each individuals needs to provide the best opportunity for skill development and attainment of qualifications that can lead to further training or employment
- Opportunity for consultation between staff and students so that all aspects of individual circumstances can be taken into consideration when planning training programs

- Consideration of the views of students' community, government agencies and organisations, and industry when planning training programs
- Access to information and course materials in a readily available, easily understood format
- Information to assist students in planning their pathway from school or the community to vocational education and training

#### # Services include:

- a) Pre-enrolment materials;
- b) Study support and study skills programs;
- c) Language, Literacy and Numeracy (LLN) programs or referrals to these programs;
- d) Equipment, resources and/or programs to increase access for learners with disabilities;
- e) Learning resource centres;
- f) Mediation services or referrals to these services;
- g) Flexible scheduling and delivery of training and assessment;
- h) Counselling services or referrals to these services;
- i) Information technology (IT) support;
- j) Learning materials in alternative formats, for example, in large print; and
- k) Learning and assessment programs customised to the workplace.

### **Enrolment (Selection and Procedure)**

Enrolment and admission into some of Asset Training Australia's training programs is subject to meeting certain prerequisite conditions and / or entry requirements. Specific details of the prerequisites pertaining to these training programs are contained in individual course documentation and are made available prior to enrolment. In the case that a potential student does not meet the prerequisite conditions and / or entry requirements, Asset Training Australia's staff will endeavour to assist them in understanding their options in regards to meeting the standards. Any questions regarding these arrangements can be addressed by trainers or Asset Training Australia's management.

#### **Enrolment**

The enrolment procedure commences when a student contacts Asset Training Australia expressing interest in a training program(s). Asset Training Australia's staff will respond by dispatching by suitable means an enrolment form, Student Handbook, information about the program(s) being considered and any other documentation which may be relevant. Enrolment applications will then be assessed to ensure that the student meets any prerequisites and / or entry requirements that have been set for the selected course.

Students will be informed of successful enrolment and sent information on the course and their course induction. Students who do not meet the prerequisites for the selected course will be notified of their unsuccessful enrolment and invited to contact Asset Training Australia to discuss their training needs and alternative opportunities.

#### **Confirmation of EnrolmentLetter**

As an additional support to enrolling students, Asset Training Australia will send a confirmation of enrolment letter to the student prior to the commencement of training. Information includes the time, date and location of training, the resources the student should bring to the course and overview of the units of competency to be studied and the format / style of training to be provided. For some courses, students are asked to complete a pre-course evaluation checklist. Questions on this checklist are intended to gain more information regarding the needs of the student. Asset Training Australia's staff will evaluate the information submitted in the checklist and either make necessary changes to meet the student's needs or have a discussion with the student regarding his / her needs.

### **Access and Equity**

Asset Training Australia is committed to ensuring that training opportunities are offered to all people on an equal and fair basis. Including women where under-represented, people with disabilities, people from non-English speaking backgrounds, Indigenous Australians, and rural and remote clients. All clients have equal access to our

training programs irrespective of their gender, culture, linguistic background, race, socio-economic background; disability, age, marital status, pregnancy, sexual orientation or carer's responsibilities.

Our staff are trained in inclusive training and assessment practices. In the event that students feel they are being disadvantaged they should approach the trainer/assessor or another staff member to raise their concerns.

All students with Asset Training Australia have the right to discuss matters of access and equity with the relevant members of staff without making a formal complaint; all discussions are dealt with in confidentiality. The right to lodge a formal complaint is available and will be actioned according to Asset Training Australia's policy and procedures.

### Language, Literacy and Numeracy

Asset Training Australia's course information, training and assessment materials contain written documentation and limited numerical calculations.

We recognise that not all people are able to read, write and perform calculations to the same standards. When an issue is identified, a Language, Literacy and Numeracy (LLN) assessment will be provided to the student in question. This may be in the form of verbal or written questioning.

We will endeavour to provide assistance to students having difficulty with language, literacy or numeracy to accommodate their needs. In the event that a student's needs exceed the ability of Asset Training Australia's staff to assist, the student will be referred to an external support agency so they have the opportunity to obtain the skills required to complete the training program. External support agencies will be those listed on training.gov.au as having scope to deliver the Foundation Skills Training Package qualifications or similar accredited courses.

# Student Support, Welfare and Guidance

Asset Training Australia will assist all students in their efforts to complete training programs by all methods available and reasonable. Trainers are responsible for ensuring that all students are aware they can contact their trainer or other Asset Training Australia staff members in the event that they are experiencing difficulties with any aspect of their studies. Staff will ensure students have access to the full resources of Asset Training Australia to assist them in achieving the required level of competency in all nationally recognised qualifications.

In the event that a student is experiencing personal difficulties, training staff will encouragethe student to contact Asset Training Australia who will provide discreet, personalised and confidential assistance as according to the nature of the difficulties. In the event that a student's needs exceed the capacity of the support services Asset Training Australia can offer, they will be referred to an appropriate external agency. Extensive information regarding support agencies, resources and services may be sourced online. Asset Training Australia's staff members will assist students to source appropriate support.

#### Flexible delivery and assessment procedures

Asset Training Australia recognises that some people are better suited to learning via teachingmethods not usually obtained in the traditional classroom setting. With some minoradjustments to teaching and assessment methods, a student who is experiencing difficultylearning and achieving the desired results in the traditional setting may show considerable improvements.

The staff and management of Asset Training Australia respect these differences among students and will endeavour to make any necessary adjustments to their methods in order to meet theneeds of a variety of students. For example, the inability to complete a written assessmentwill not be interpreted as a sign of incompetence, provided the student can verbally demonstrate competency.

Acceptable adjustments to training and assessment methods may include but are notlimited to; having a trainer read assessment materials to students, having a student'sspoken responses to assessment questions recorded or allowing a student to sit for an assessment alone in a different room. Asset Training Australia's staff will pursue any reasonable means within their ability to assist students in achieving the required competency standards. In the event that a student's needsexceed the capacity of the support services Asset Training Australia can offer, they will be referred to an appropriate external agency.

#### Reasonable adjustment

Reasonable adjustment means adjustments that can be made to the way in which evidence of student performance can be collected. Whilst reasonable adjustments can be made interms of the way in which evidence of performance is gathered, the evidence criteria formaking competent / not yet competent decisions will not bealtered in any way. That is, the standards expected should be the same irrespective of the group and / or individual being assessed; otherwise comparability of standards will becompromised.

### **Student Portal**

Asset Training Australia has three online portals where students can access additional resources, complete training and assessment, submit assessments, submit RPL evidence and communicate with their trainer/assessor. The portals are:

- Student Portal
- eLearning Portal
- Microsoft IT Academy Portal

The Student Portals can be accessed from www.asset.edu.au/login

All students will receive access to the student portal upon enrolment. An automatically generated email will be sent to the student when they first enrol. If this email is not received (or if the student is a returning customer and has not previously had access to the Student Portal)students can contact Asset Training Australia to register or reset their account.

Access to the eLearning Portal and Microsoft IT Academy Portal is restricted to students who require these portals for completion of their qualification. Details about access to these portals will be provided in the Confirmation of Enrolment letter where applicable.

# **RECOGNITION OF PRIOR LEARNING**

Recognition of Prior Learning (RPL) means recognition of competencies currently held, regardless of how, when or where the learning occurred. These competencies may be attained through any combination of formal or informal training and education, work experience or general life experience. In order to grant RPL, the assessor must be confident that the student is currently competent against the endorsed industry or enterprise competency standards or outcomes specified in Australian Qualifications Framework accredited courses. The evidence may take a variety of forms and could include certification, references from past employers, testimonials from clients and work samples. The assessor must ensure that the evidence is authentic, valid, reliable, current and sufficient.

Asset Training Australia appreciates the value of workplace and industry experience, and recognises that students will acquire vocational skills and knowledge from a variety of sources other than formal training. These skills are legitimate irrespective of how they were acquired and the RPL process is designed to provide validation of such relevant skills.

### **Qualifications from Other RTO's**

Asset Training Australia will recognise all AQF qualifications and statements of attainment issued by any other RTO. If any ambiguity is detected when validating a student's certification, Asset Training Australia will seek verification from the relevant RTO before recognising the qualification or statement of attainment.

#### **Credit Transfer**

Credit transfer refers to the transferral of academic credit obtained by students throughparticipation in courses or national training package qualifications either with Asset Training Australia or with other RTOs, towardsa qualification offered by Asset Training Australia. Credit transfer is granted on the basis that thecredit validates the student's competency within the relevant qualification / unit ofcompetence. Credit transfer of a qualification / unit of competence is available to students enrolling in most training programs offered by Asset Training Australia.

#### **RPL Process**

RPL is an assessment process that assesses an individual'sformal, non-formal and informal learning to determine the extent to which that individual hasachieved the required learning outcomes, competency outcomes, or standards for entry to, and / or partial or total completion of a VET qualification. The RPL process will be offered to and explained to allrelevant students.

Students who believe they have already obtained current skills and knowledge that wouldotherwise be covered in the qualification / unit of competence for which they intend to attain, can apply for RPL at the time of enrolment. The student's skills and knowledge will beassessed and validated, and where appropriate, units of competency acknowledged, andface-to-face training reduced.

As part of theAsset Training Australia's enrolment policy, staff will advise students of theavailability of RPL, explain what the process involves and how it relates to theattainment of the qualification in some circumstances. Staff will remind students of thisoption progressively throughout their time in training, in order to provide multipleopportunities for students to engage in the RPL process.

When approached by a student seeking RPL, staff will refer the student to one of Asset Training Australia's RPL Assessors who will conduct an initial RPL interview and explain the remainder of the RPL process specific to that student's needs. There is no cost to the student or obligation to commit to the RPL process from the initial RPL interview.

#### **RPL Limitations**

The RPL process has some limitations that may prevent Asset Training Australia from offering an RPL pathway for some students. Some of these limitations include:

- Training Programs with Industry Licensing outcomes generally require that licence applicants receive their
  qualifications through a formal training and assessment process. Applicants may not be eligible for a licence
  if they follow an RPL or Credit Transfer pathway. Examples include Security Industry Licensing and Traffic
  Management Industry Licensing.
- High Risk Training Programs such as firearms training have been identified by Asset Training Australia as too
  high risk for an RPL or Credit Transfer process to be utilised. Due to the nature of high risk training and
  perishability of skills, an RPL or Credit Transfer process will not be able to sufficiently or reliably substantiate
  a student's current competency.

# TRAINING AND ASSESSMENT

Asset Training Australia is committed to delivering high quality training and assessment services that exceed the expectations of their student. To ensure this, Asset Training Australia has implemented processes for data collection and analysis within its operations that ensure the continuous improvement of training and assessment. Continuous improvement measures in this arearespond to the results of data analysis and involve all internal and external stakeholder groups.

The quality and continuous improvement policy defines the methods of datacollection and analysis. In order to provide high quality outcomes to their clients and students, Asset Training Australia ensures that strategies for training and assessment are developed with effective consultation with industry and stakeholders.

### **Principles of Training and Assessment**

Training and assessment strategies developed by Asset Training Australia will adhere to the following principles:

- Training and assessment strategies are developed for each qualification, accredited course or unit ofcompetency that will be delivered and assessed
- Each training and assessment strategy will be developed in consultation with industryrepresentatives, trainers, assessors and key stakeholders
- Training and assessment strategies will reflect the requirements of the relevant training package and will identify target groups
- Training and assessment strategies will be validated annually through the internal review procedures

Asset Training Australia will apply the Principles of Assessment and the Rules of Evidence.

#### **Principles of Assessment**

To ensure quality outcomes, assessment should be:

- Fair
- Flexible
- Valid
- Reliable
- Sufficient

#### **Rules of Evidence**

To ensure the principles of assessment are maintained, evidence collected should be:

- Valid
- Sufficient
- Authentic
- Current

# **Assessment Requirements**

Asset Training Australia acknowledges the critical role that assessment plays in determining the competency of students. In developing the assessment (including RPL) for eachqualification and unit of competence, the course development team will ensure:

- Compliance with the assessment guidelines from the relevant training package, qualification and unit of competence of accredited course
- Assessment leads to a qualification or statement of attainment under the AustralianQualifications
   Framework (AQF)
- Assessment complies with the principles of competency-based assessment andinforms the student of the purpose and context of the assessment
- The rules of evidence guide the collection of evidence to support the principles ofvalidity and reliability

- The application of knowledge and skills is relevant to the standard expected in theworkplace, including skills for managing work tasks, contingencies and the jobenvironment
- Timely and appropriate feedback is given to students
- Assessment complies with Asset Training Australia's access and equity policy
- All students have access to re-assessment on appeal

### **Training Guarantee**

It is the intention of the Managing Director of Asset Training Australia that all students will receive the full trainingservices paid for at all times, including but not limited to training and assessment, assessment only, recognition of prior learning or short courses. The corporate structure, governance and financial management systems and processes guarantee the training forstudents enrolled with Asset Training Australia. Specifically, the integrity, business experience and training expertise of the «Title» ensure continuity of training and completion of training isguaranteed for all students. The continuous improvement and quality management practices employed by Asset Training Australia's staff is designed to proactively identify anyanomaly that might cause a business interruption or training failure and address this situation before any students are affected.

Training continuity and completion is also guaranteed by the quality management and operational framework procedure developed by Asset Training Australia. For example; therecruitment, induction and staff professional development policies and procedures ensurebest practice and minimise the potential for business interruption or training failure.

In the extremely unlikely event of a business interruption or training failure, students' trainingis guaranteed by the financial management policy and procedure of Asset Training Australia. Feespaid in advance are not transferred to the operating account until training commences. Atany one time only \$500 is 'drawn down' (proportionate to the expenses incurred in training) for any one (1) student. This means that in the unlikely event of a business interruption ortraining failure, Asset Training Australia can issue a statement of attainment for the training completed and refund the remaining funds held.

# **DISCIPLINE**

Asset Training Australia makes every effort to practice cooperation and mutual respect in all internal external dealings to uphold high quality, professional training and assessment services. The same disciplined behaviour is expected of students as a contribution to a functional earning environment, and as a sign of respect to staff and fellow students.

#### **Professional Behaviour**

Asset Training Australia's Management encourage all trainers or staff members to enforce professional behaviour of students. If a trainer or staff member is dissatisfied with the behaviour or performance of a student they have the authority to:

- Warn the student that their behaviour is unsuitable, or
- Ask a student to leave the class, without refund or acceptance into another course, or
- Immediately cancel the class.

If a student wishes to object or lodge an appeal against the disciplinary action taken, they have the right and opportunity to follow the Asset Training Australia's complaint procedure. Asset Training Australia's staff are expected to maintain a professional and ethical working relationship with all other staff members, management and students. Breaches of the disciplinary standards will result in discussion between the relevant trainer and Asset Training Australia, and appropriate action will be taken.

### **Plagiarism**

Plagiarism is considered academic dishonesty and a breach of journalistic ethics. It is subject to sanctions like expulsion. It is quite reasonable to research material in the course of undertaking assessment. All sources, however, must be clearly referenced.

Asset Training Australia takes a very strict approach to plagiarism and proven incidents will not be tolerated.

Plagiarism is the "wrongful appropriation" and "purloining and publication" of another author's "language, thoughts, ideas or expressions," and the representation of them as one's own original work.

#### **Prohibited Items**

The following items must not be brought to Asset Training Australia's facilities or courses by students under any circumstances unless stated as a requirement in the Confirmation of Enrolment letter:

- Firearms and other weapons including replicas
- Ammunition, explosives, fireworks or any form of pyrotechnics
- Knives including multi-tools and rescue tools
- Alcohol in any form
- Drugs (other than prescription medication)
- Offensive reading material
- Laser pointers
- Cameras and Video Cameras

Students found in possession of prohibited items may be immediately excluded from participation in training and assessment activities, without refund or credit, at the discretion of the trainer or staff member responsible for the course.

### RECORDS

Asset Training Australia has a clearly documented quality administrative and records management system in place to secure the accuracy, integrity and currency of records, to keep documentation up-to-date and to secure any confidential information obtained by Asset Training Australia and committees, individuals or organisations acting on its behalf.

Data is collected and stored in accordance with the processes outlined in this document and Asset Training Australia's record management procedures ensure timely and accurate records inform the continuous improvement processes of Asset Training Australia. In addition, these records management procedures will ensure that all documentation providing evidence of compliance to the essential standards of registration is accurately maintained.

### **Record Keeping Procedures**

Asset Training Australia will retain client records of attainment of units of competency and qualifications for a period of thirty (30) years. These records include:

- Student enrolments
- Staff profiles detailing qualifications and industry experience
- Fees paid and refunds given
- All documentation necessary to develop, implement and maintain Asset Training Australia 'squality system

Documents pertaining to students currently enrolled are stored in secure, individual student files which are managed by Asset Training Australia's staff. These include:

- Records of assessment results
- Records of qualifications obtained
- Copies of certificates and statements of attainment
- Records of enrolments and fees

Upon enrolment, student's details will be entered into Asset Training Australia's database. This process initiates the establishment of the student's individual file which is then used to record all future details pertaining to the student. The file is retained by Asset Training Australia and management of the file will be in accordance with the Asset Training Australia's training records policy.

### **Completed assessments**

Each and every assessment submitted by every student will be retained for a minimumperiod of six (6) months. At the expiration of six (6) months period, or sooner, the student's assessments will be scanned and stored electronically for thirty (30) years.

#### **Ceasing Operation**

In the event that Asset Training Australia ceases to operate, its records will be transferred to ASQA in the appropriate format and detail as specified by the Department at the time of ceasing RTOoperations. All other records including training records, taxation records, business and commercial records will be retained for a period of at least seven (7) years. Asset Training Australia will ensure that any confidential information acquired by the business, individuals, or committees or organisations acting on its behalf is securely stored.

#### **Access to Records**

Asset Training Australia has implemented a record management system that ensures that all students have access to accurate information regarding their learning in a timely fashion. To ensure this, employees are informed of their responsibilities for record keeping and the process is monitored through the continuous improvement process and improved wherenecessary. This section outlines the data management procedures that support this recordsmanagement system.

#### **Access to StudentRecords**

Access to individual student training records will be limited to those required by the SNR, such as:

- Trainers and assessors to access and update the records of the students whomthey are working with
- Management staff as required to ensure the smooth and efficient operation of thebusiness
- Officers of ASQA or their representatives for activities required under the standardsfor registered training organisations

Asset Training Australia's trainers and assessors will maintain accurate and current records of each student's progress and achievement of competencies in the area of their study. Theserecords will be entered on the Asset Training Australia's database system during training and assessment or immediately at the completion of training and assessment.

As students complete each competency, the trainer or assessor will check theachievements against the relevant qualification packaging rules and sign off successfullycompleted competencies. All details of full or partially completed competencies will be recorded and stored on the student's file.

Upon completion of all relevant competencies within a qualification, the student will beentitled to receiving the full qualification. The certificate and statement of results and / orstatement of attainment will be produced and presented to the student.

A scanned electronic copy of all signed qualifications issued will be converted to PDF formatand secured in the student's file.

#### **Student Access to Records**

Students have the right to request information about or have access to their own individual records. Asset Training Australia trainers and assessors or administration staff will provide therequested information or access. Students also have the right to request a hard copy of their own individual file that can be supplied as a printout from records retained within the data management system.

#### **Privacy**

Asset Training Australia considers student privacy to be of utmost importance and will practice ahigh standard of care and concern in regard to maintaining student privacy in all aspectsof business operations. Any persons external to the organisation acting on behalf of Asset Training Australia are made aware of the confidentiality procedures and privacy policies prior tocommencing work with Asset Training Australia.

Asset Training Australia will comply with all legislative requirements including the Privacy Act 1988(Commonwealth) and National Privacy Principles (2001)<a href="https://www.privacy.gov.au">www.privacy.gov.au</a>. Asset Training Australia ensures no student information is disclosed without the student'sconsent, except as required by law or in adherence to the SNR. Student consent must be obtained in writing from the student, unless the student is under the age of 18 years, inwhich case written consent from their parent or guardian must be obtained. Consent todisclosure of information forms and / or letters will be recorded.

#### **AVETMISS Reporting**

AVETMISS stands for the Australian Vocational Education and Training Management Information Statistical Standard. It is a national data standard that ensures consistent and accurate capture and reporting of VET information about students. The National Centre for Vocational Education Research (NCVER) is the custodian of the standard.

Asset Training Australia submits AVETMISS reports to NCVER at least monthly. These reports include all student and training data including:

- age, sex and other demographic information
- Indigenous and disability information
- geographic location
- type of provider (for example, government or private)
- location of training delivery
- enrolments in units of competency, as part of a qualification, and modules as part of courses
- how it was studied (for example, classroom, workplace or online)
- how it was funded
- the results obtained for unit/module (outcome)

This reporting is made under the authority of the Data Provision Requirements that are established by agreement of Training Ministers across Australia under the National Vocational Education and Training Regulator Act 2011.

# **COMPLAINTS AND APPEALS**

Asset Training Australia has a defined complaints and appeals process that will ensure learners'complaints and appeals are addressed effectively and efficiently. Asset Training Australia strives to ensure that each student is satisfied with their learning experienceand outcome. In the unlikely event that this is not the case, all students have access torigorous, fair and timely complaint and appeal processes which are outlined in this section ofthe policy and procedures document. Any complaints or appeals will be reviewed as part ofthe continuous improvement process and where corrective action has been highlighted, itwill be implemented as a priority.

### Complaints

A complaints procedure is available to all persons wishing to make a complaint, appeal orany other manner of objection in relation to the conduct of Asset Training Australia. The complaintsprocedure will address both formal and informal complaints. All formal complaints must be submitted in writing to Asset Training Australia management and will be heard and addressed, including a response to the aggrieved person, within five (5) working days of receipt.

Asset Training Australia management will maintain a complaints register to document the course ofaction and resolution of all formal complaints. All complaints substantiated by the complaintsprocedure will be reviewed as part of the Asset Training Australia continuous improvement procedure. It is the responsibility of Asset Training Australia management to ensure adherence to the complaintprocedure and that resolution is sought in all reasonable circumstances. This includes informing and assisting students with the complaints procedure and supply of complaintforms.

If the student is still not satisfied with the resolution of the complaint after following and exhausting the complaints procedure, the student may contact ASQA or other relevant regulatory body and lodge a written complaint.

### **Appeals**

The Asset Training Australia appeals process is concerned with a student's right to request changeto decisions or processes of an official nature, usually in relation to academic or proceduralmatters.

In the case of a student's appeal against specific assessment decisions, the studentshould first discuss the decision(s) with the relevant trainer or assessor and request re-evaluation. The trainer or assessor will hear the student's appeal, make fair judgement to the best of their ability as to whether change(s) are required and then discuss their final decision with the student.

If the student is still dissatisfied with the trainer or assessor's decision, they have the rightto take the appeal to the management team. The formal notice of appeal is required tocomply with the following principles upon submission to management:

- The notice of appeal should be in writing, addressed to Asset Training Australia for referral to the management team and submitted within five (5) days of notification of theoutcome of the trainer or assessors re-evaluation process.
- The notice of appeal must be submitted within the specified timeframe otherwise theoriginal result will stand. If a student's appeal needs to be deferred due toemergency circumstances, such as in the case of serious illness or injury, a medicalcertificate supporting the case must be forward to management. The notice ofdeferral must be submitted within three (3) working days of the conclusion datedisplayed on the medical certificate.

It is the responsibility of Asset Training Australia management to ensure adherence to the appealprocedure and that resolution is sought in all reasonable circumstances. This includes informing and assisting students with the appeal

procedure and supply of appeal forms. All appeals will be reviewed at the monthly management meeting and, if appropriate, resultin a continuous improvement process.

If the student is still not satisfied with the resolution of the complaint after following andexhausting the appeals procedure, the student may contact ASQA and lodge a writtencomplaint.

# **Complaints / Appeals Procedure**

All persons wishing to make a complaint, appeal or any other manner of objection in relation to the conduct of Asset Training Australia have access to the following procedure:

### **Informal Complaint / Appeal:**

- An initial complaint or appeal will involve the student communicating directly withAsset Training Australia verbally or by other appropriate means. Asset Training Australia'sManagement will make a decision, discuss their judgement with the student and record theoutcome of the complaint or appeal
- Students dissatisfied with the outcome of Asset Training Australia's decision may initiate theformal complaint procedure

### Formal Complaint / Appeal:

- It is normal procedure that all formal complaints proceed only after the initial informal complaint or appeal procedure has been finalised
- The formal complaint or appeal is to be submitted in writing, and the procedure andoutcome recorded by Asset Training Australia's staff
- On receipt of a formal complaint, the Compliance Manager will initiate an investigation regarding the complaint including conducting interviews with relevant persons including
  - o The complainant
  - The trainer/ assessor(s)
  - Other staff involved in the complaints process
  - Other students
  - Others who may be able to provide factual information regarding the complaint
- The Compliance Manager will also conduct further investigation including:
  - o Review of all evidence and course/student records pertaining to the complaint
  - Review of CCTV and other security records
  - o Consultation with Program Coordinators and other subject matter experts
  - Consultation with the Managing Director
- The Compliance Manager will inform all parties involved of the outcome in writingwithin five (5) working days of making the decision. Note that due to our privacy policy, specific details of the investigation process and actions taken will not be disclosed to students or parties outside Asset Training Australia.

All complaints and appeals will be reviewed at Asset Training Australia monthly managementmeetings. Continuous improvement procedures may be actioned when the complaint /appeal procedure results in identification of factors appropriate for improvement to internal operations. When the initial causative factor of the complaint identifies a problem withcurrent Asset Training Australia policies and / or procedures, the continuous improvement procedurewill ensure changes are made to prevent reoccurrence of the problem.

